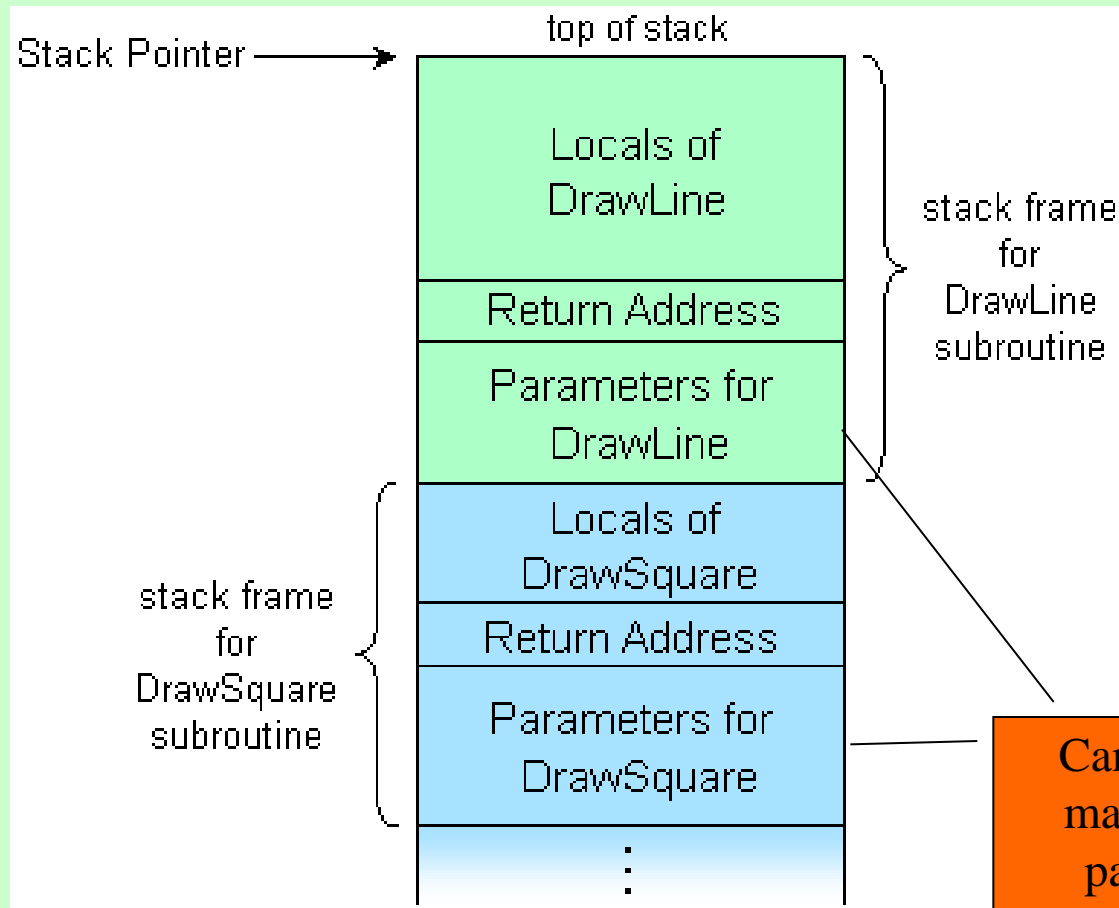


# Quiz for Ch.10



Can you see why it make sense for the parameters to be stacked below (before) the return address?

# Quiz on Ch.10

(Flags and codes pp.378-9)

- Why does the x86 instruction set have two classes of comparison codes?
  - G, L, GE, LE,
  - A (above), B (below), AE, BE
  
- Explain the following line of the table 10.10/379:

G, NLE	$[(S=1 \text{ AND } O=1) \text{ OR } (S=0 \text{ and } O=0)] \text{ AND } [Z=0]$	Greater than; Not less than or equal (signed)
--------	--	---

**William Stallings  
Computer Organization  
and Architecture  
8<sup>th</sup> Edition**

---

**Chapter 11  
Instruction Sets:  
Addressing Modes and Formats**

# Addressing Modes

---

- Immediate
- Direct
- Indirect
- Register
- Register Indirect
- Displacement (Indexed)
- Stack
  
- What is Effective Address (EA)?

# Immediate Addressing Diagram

---

Instruction



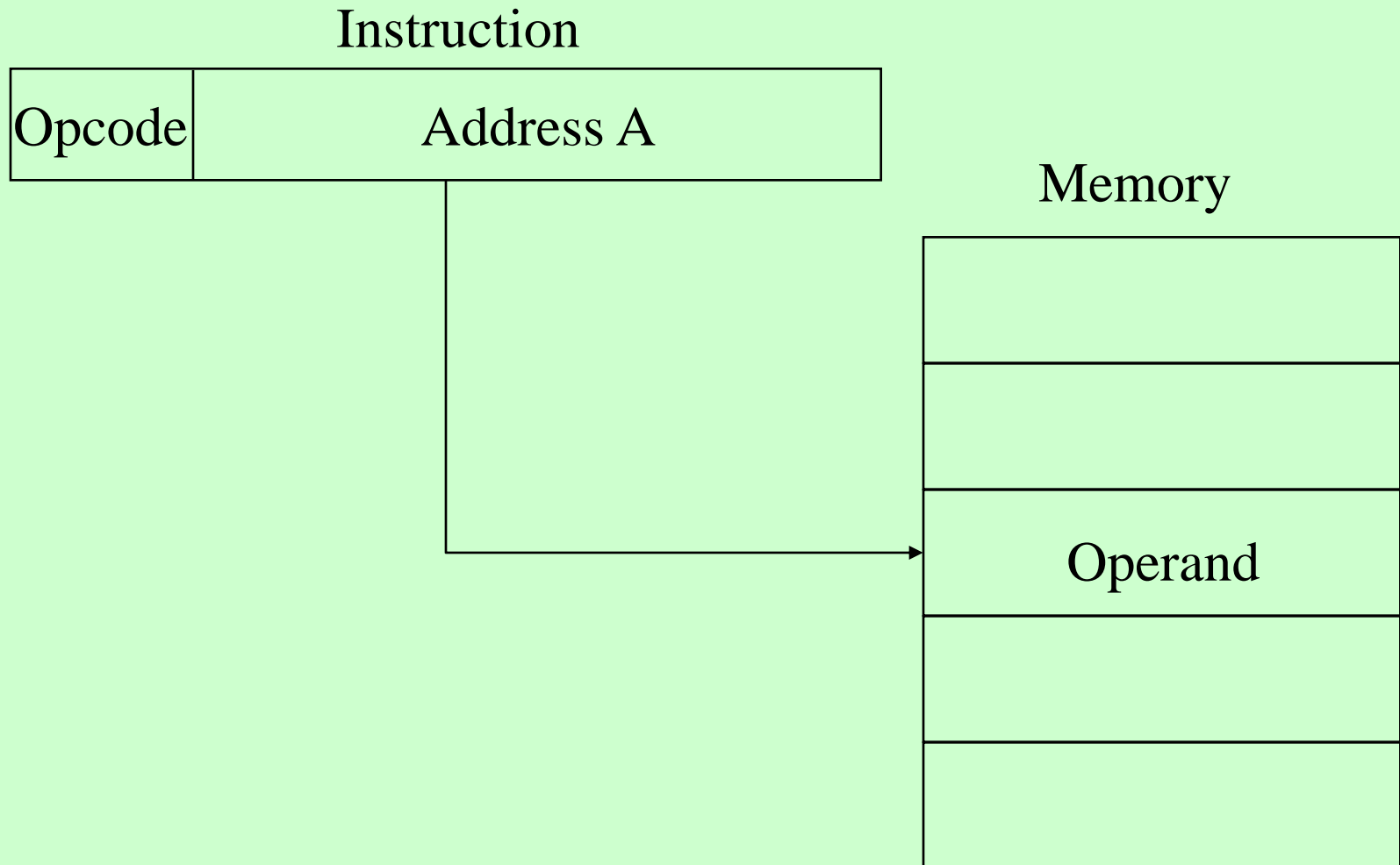
# Immediate Addressing

---

- Operand is part of instruction
- Operand = operand field
- e.g. ADD 5
  - Add 5 to contents of accumulator
  - 5 is the operand
- No memory reference to access data
- Fast
- Range of operands limited to # of bits in operand field (< word size)

# Direct Addressing Diagram

---



# Direct Addressing

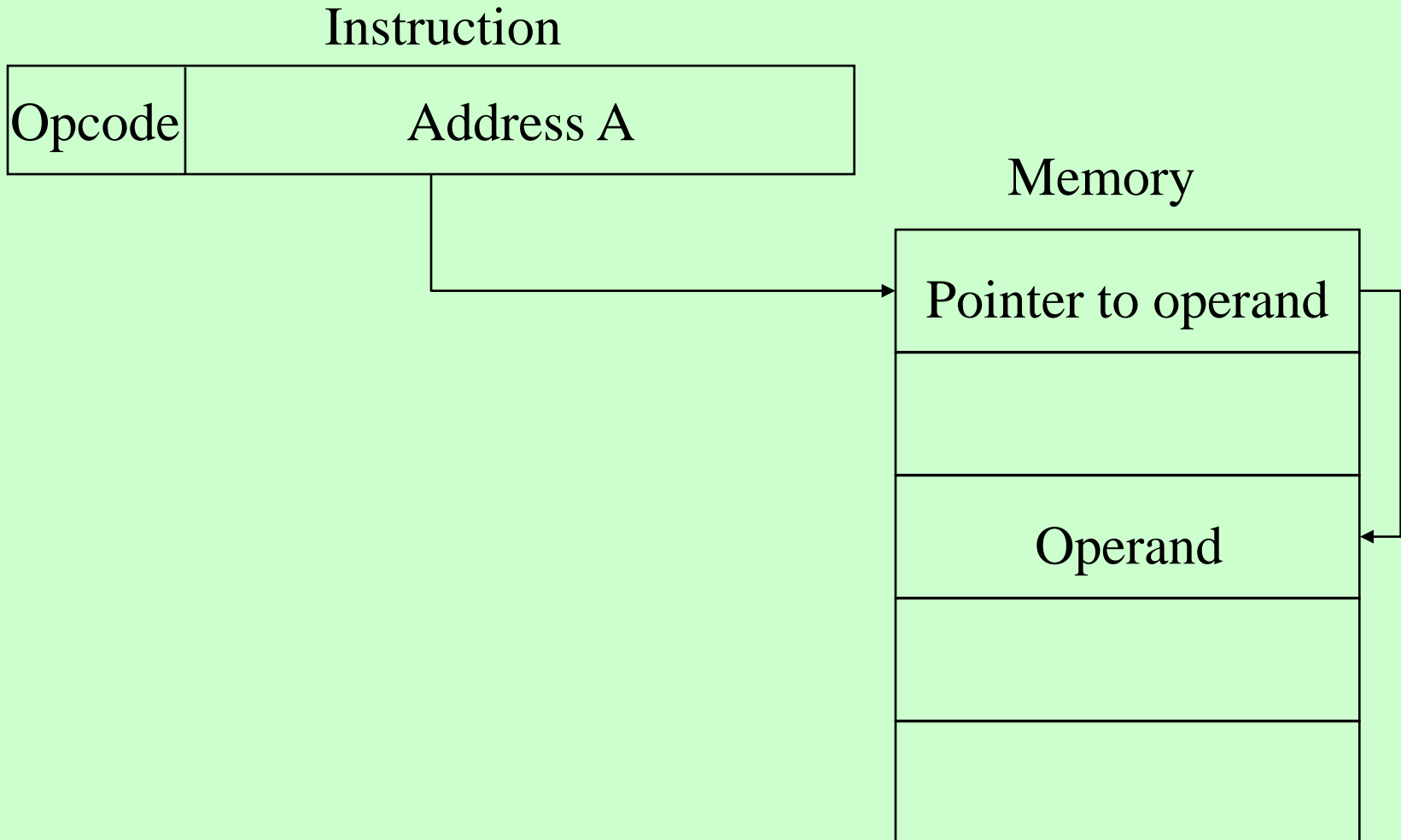
---

- Address field contains address of operand
- Effective address (EA) = address field (A)
- e.g. ADD A
  - Add contents of cell A to accumulator
  - Look in memory at address A for operand
- Single memory reference to access data
- No additional calculations to work out effective address
- Range of addresses limited by # of bits in A (< word length)



# Indirect Addressing Diagram

---



# Indirect Addressing

---

- Memory cell pointed to by address field contains the address of (pointer to) the operand
- $EA = (A)$ 
  - Look in A, find address (A) and look there for operand
- e.g. ADD (A)
  - Add contents of cell pointed to by contents of A to accumulator

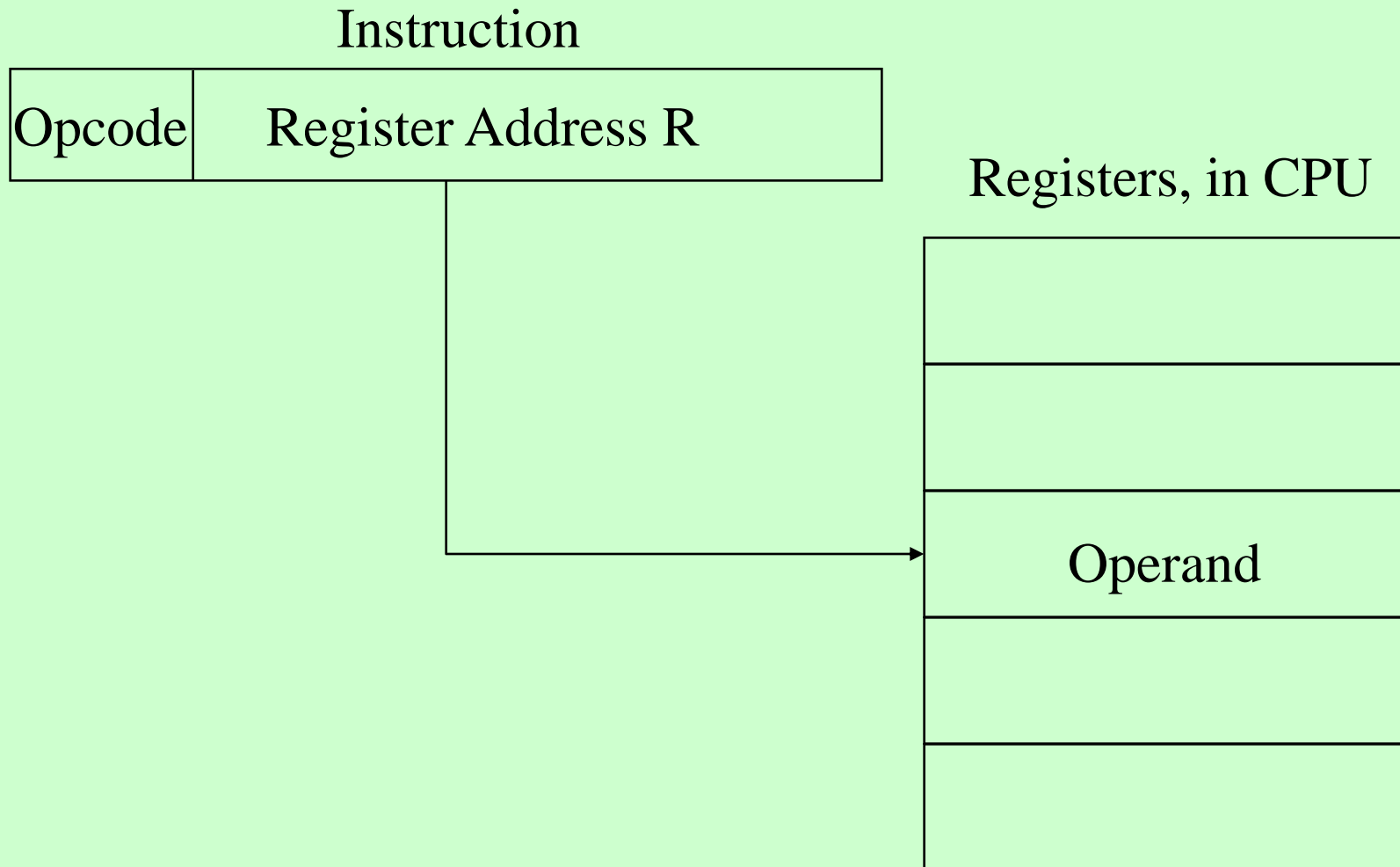
# Indirect Addressing

---

- Advantage: Large address space
  - $2^n$  where  $n$  = word length
- Disadvantage: Multiple memory accesses to find operand → slower
  
- May be nested, multilevel, cascaded
  - e.g.  $EA = (((A)))$ 
    - Draw the diagram!

# Register Addressing Diagram

---



# Register Addressing

---

- Operand is held in register named in address field
- $EA = R$

## Advantages:

- Very small address field
  - Shorter instructions
  - Faster instruction fetch
- Faster memory access to operand(s)

# Register Addressing

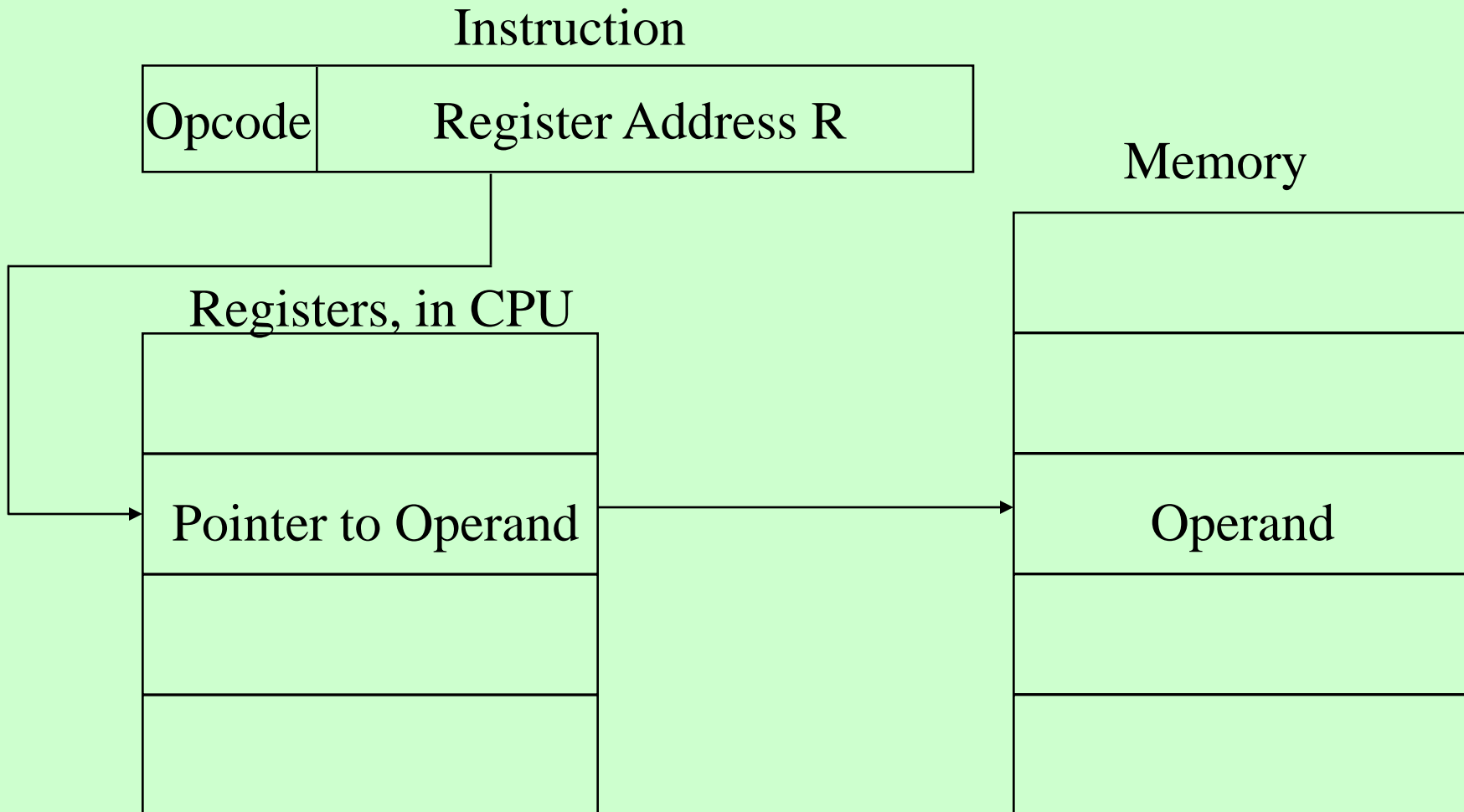
---

Disadvantage:

- Very limited address space
- Multiple registers helps performance
  - Requires good assembly programming or compiler writing
  - C language has a dedicated keyword:  
**register int a;**

# Register Indirect Addressing Diagram

---



# Register Indirect Addressing

---

- C.f. indirect addressing
- $EA = (R)$
- Operand is in memory cell pointed to by contents of register R

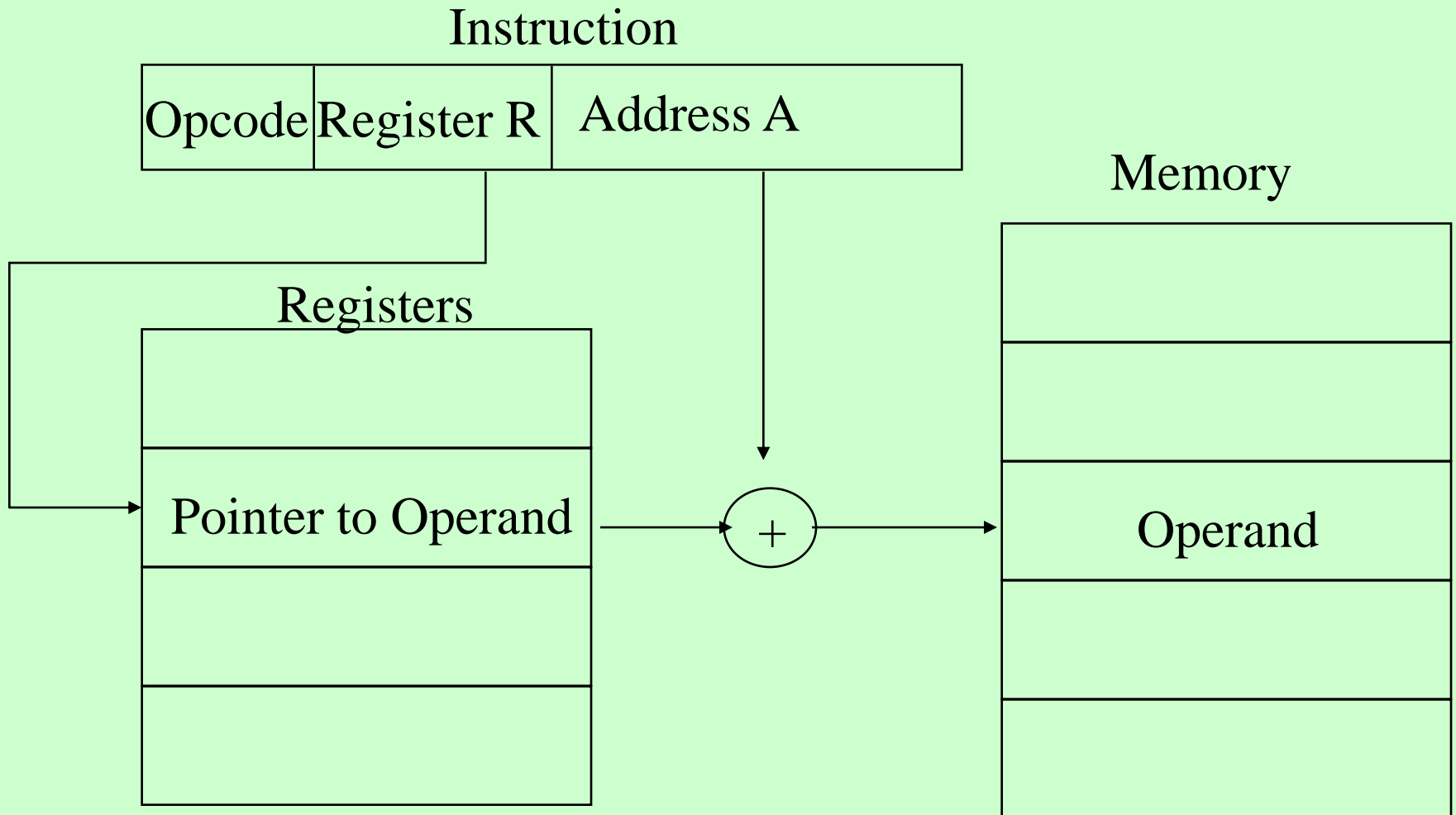
Comparison with (memory) indirect:

- Same large address space ( $2^n$ )
- One less memory access!



# Displacement Addressing Diagram

---



# Displacement Addressing

---

- $EA = A + (R)$
- Address field holds two values
  - A = base value
  - R = register that holds displacement
  - or vice versa
- Has many versions, of which we mention these 3:
  - Relative
  - Base-register
  - Indexing

# Relative (to PC) Addressing

---

It's a version of displacement addressing

- $R = \text{Program counter, PC}$
- $EA = A + (PC)$ 
  - The operand is  $A$  cells away from the current cell (the one pointed to by  $PC$ )
- Remember:
  - locality of reference
  - cache usage

# Base-Register Addressing

---

It's a version of displacement addressing

It's a generalized relative addressing, where other registers can play the role of PC

- A holds displacement
- R holds pointer to base address
- $EA = A + (R)$ 
  - R may be explicit or implicit
- E.g. six segment registers in 80x86:  
CS, DS, ES, FS, GS, SS

# Indexed Addressing

---

It's a version of displacement addressing

Very similar to base-register addressing

- $A = \text{base}$
- $(R) = \text{displacement}$
- $EA = A + (R)$ , but roles are reversed! ←
- Good for accessing arrays

## **R++**

- **Autoindexing:** the incrementations/decrementation is performed in the same instruction cycle!

# Combinations

---

- Postindex:  $EA = (A) + (R)$ 
  - First use A as direct addressing → at address A we find an address A1.
  - “Index” A1 based on R → add A1 to the content of R
  
- Preindex:  $EA = (A+(R))$

Draw the diagrams!

# Stack Addressing

---

- Operand is (implicitly) on top of the stack
- E.g. ADD
  - Pop top two items from stack
  - Add them
  - Push result on top of stack

## **Review:**

---

What addressing mode is used in the x86 instruction

**MOV EAX 42**



## **Review:**

---

What addressing modes were used in the IAS instruction set?

See next slides ...

# The IAS instruction set

Instruction Type	Opcode	Symbolic Representation	Description
Data transfer	00001010	LOAD MQ	Transfer contents of register MQ to the accumulator AC
	00001001	LOAD MQ,M(X)	Transfer contents of memory location X to MQ
	00100001	STOR M(X)	Transfer contents of accumulator to memory location X
	00000001	LOAD M(X)	Transfer M(X) to the accumulator
	00000010	LOAD -M(X)	Transfer -M(X) to the accumulator
	00000011	LOAD  M(X)	Transfer absolute value of M(X) to the accumulator
	00000100	LOAD - M(X)	Transfer - M(X)  to the accumulator
Unconditional branch	00001101	JUMP M(X,0:19)	Take next instruction from left half of M(X)
	00001110	JUMP M(X,20:39)	Take next instruction from right half of M(X)
Conditional branch	00001111	JUMP+ M(X,0:19)	If number in the accumulator is nonnegative, take next instruction from left half of M(X)
	00010000	JUMP+ M(X,20:39)	If number in the accumulator is nonnegative, take next instruction from right half of M(X)

Specifies one of 21 instructions

There was no assembly language back then!

# IAS – instruction set (continued)

Arithmetic	00000101	ADD M(X)	Add M(X) to AC; put the result in AC
	00000111	ADD  M(X)	Add  M(X)  to AC; put the result in AC
	00000110	SUB M(X)	Subtract M(X) from AC; put the result in AC
	00001000	SUB  M(X)	Subtract  M(X)  from AC; put the remainder in AC
	00001011	MUL M(X)	Multiply M(X) by MQ; put most significant bits of result in AC, put least significant bits in MQ
	00001100	DIV M(X)	Divide AC by M(X); put the quotient in MQ and the remainder in AC
	00010100	LSH	Multiply accumulator by 2; i.e., shift left one bit position
	00010101	RSH	Divide accumulator by 2; i.e., shift right one position
Address modify	00010010	STOR M(X,8:19)	Replace left address field at M(X) by 12 rightmost bits of AC
	00010011	STOR M(X,28:39)	Replace right address field at M(X) by 12 rightmost bits of AC

Self-modification of code, but it was done to “simulate” today’s indirect and displacement addressing

---

We covered section 11.1 of the text. Please read carefully, it's very important!

Solve in notebook end-of-chapter:

- Review questions 1-11
- Problem 1

## **Detour: Ch.8, Section 8.4:**

---

### **Two ways to subdivide physical memory in Intel x86: paging and segmentation**

- Paging is invisible to the programmer. We cover it in CS 380 (Operating Systems)
- Segmentation is usually visible to the programmer. It provides:
  - Convenience for organizing programs and data
  - A means to implement privilege and protection mechanisms for processes
  - Help for identifying bugs during program development

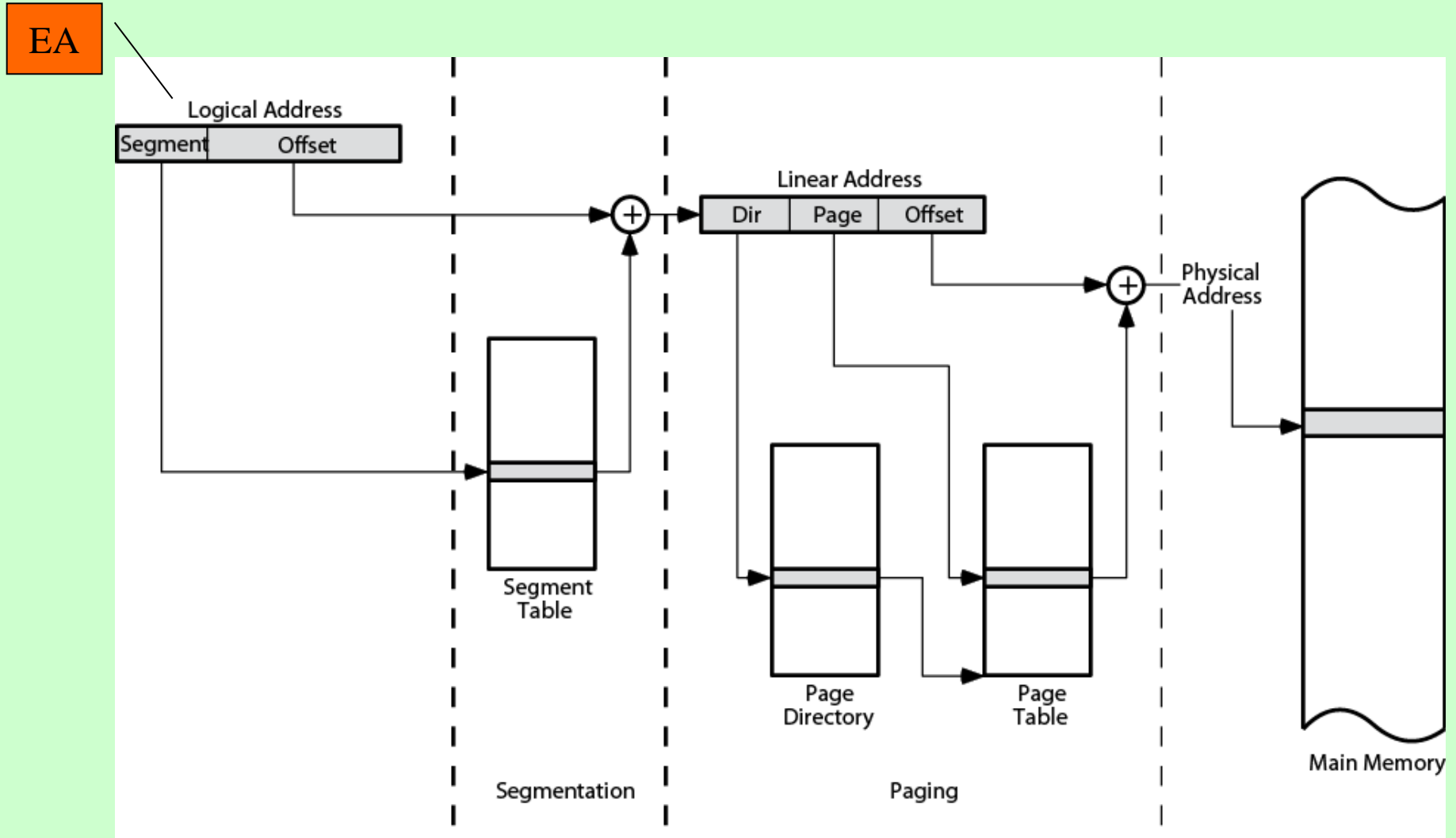
## From Ch.8, Section 8.4:

---

### Two ways to subdivide physical memory: paging and segmentation

- Pentium II includes hardware for both paging and segmentation. Each mechanism can be enabled separately, resulting in 4 modes:
  - unsegmented unpagged
  - unsegmented pagged      a.k.a. page-protected
  - segmented unpagged      a.k.a. segment-protected
  - segmented pagged      a.k.a. protected

# Pentium II Complete Address Translation Mechanism (segmentation + paging)



When paging is not used, the linear address is placed directly on the memory bus

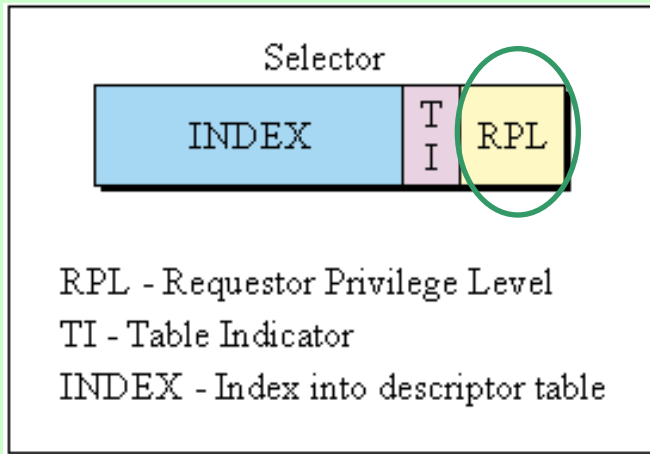
# Pentium II Segmentation

---

- Each virtual address is 16-bit segment and 32-bit offset
- 2 bits of segment are protection mechanism
- 14 bits specify segment
- Unsegmented virtual memory  $2^{32} = 4\text{Gbytes}$
- Segmented  $2^{46} = 64\text{ terabytes}$ 
  - Can be larger – depends on which process is active
  - Half (8K segments of 4Gbytes) is global
  - Half is local and distinct for each process

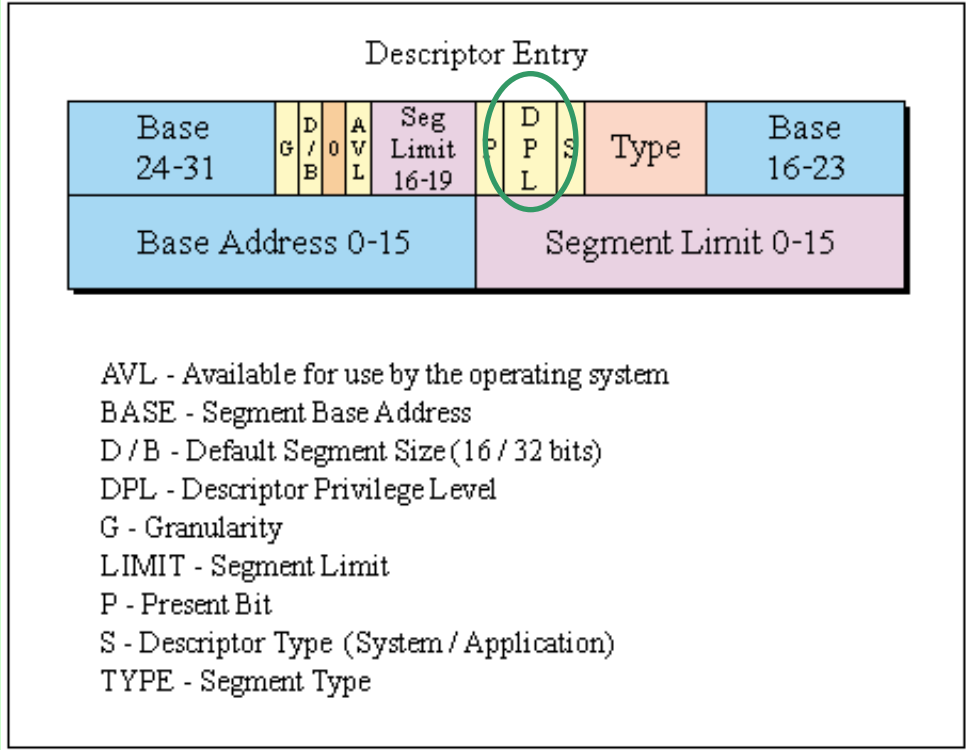


# Pentium II Segment Selector + Table



First part of virtual address, stored in a segment register

Segment table entry



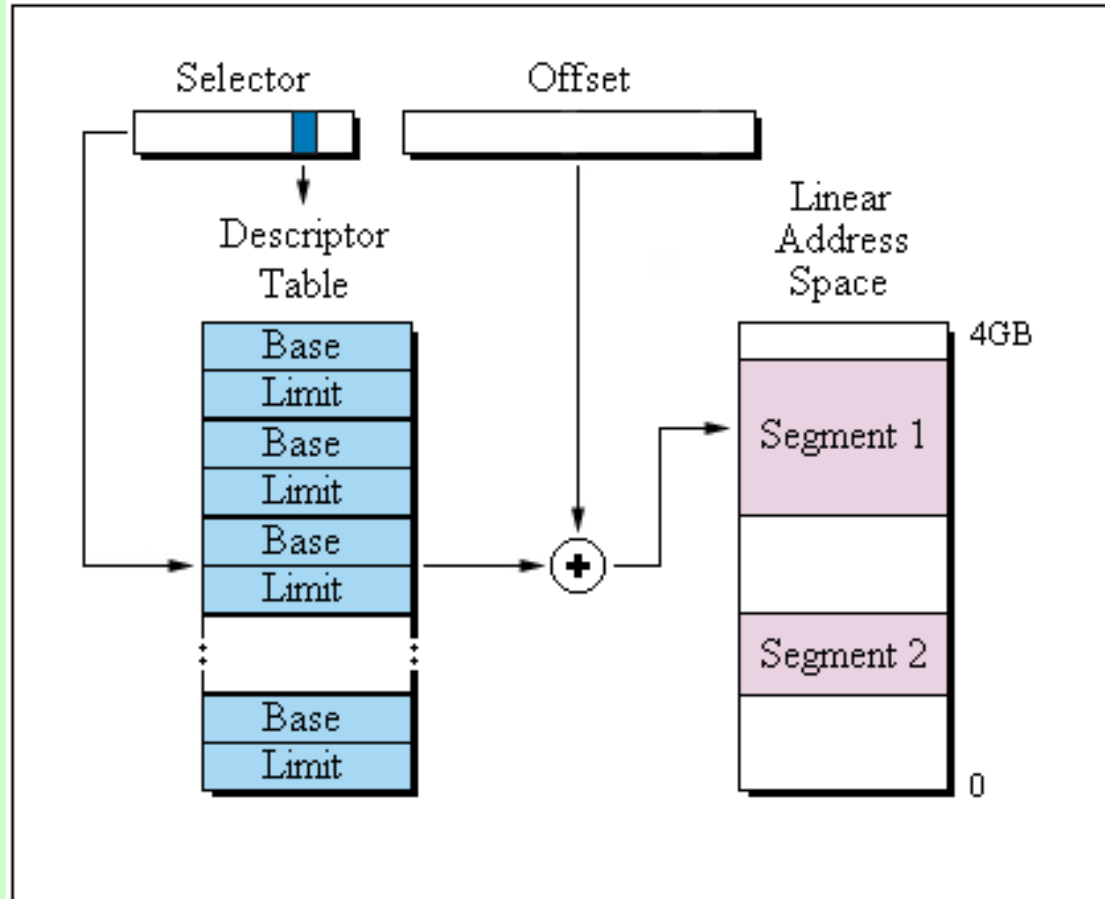
Segment selector = 2 Byte  
Segment descriptor = 8 Byte

# Pentium II Protection

---

- Protection bits give 4 levels of privilege
  - 0 most protected, 3 least
  - Use of levels software dependent
  - Usually level 3 for applications, level 1 for O/S and level 0 for kernel (level 2 not used)
  - Level 2 may be used for apps that have internal security e.g. database
  - Some instructions only work in level 0 (used for OS for memory management)

# Pentium II Address Translation – Segmentation only



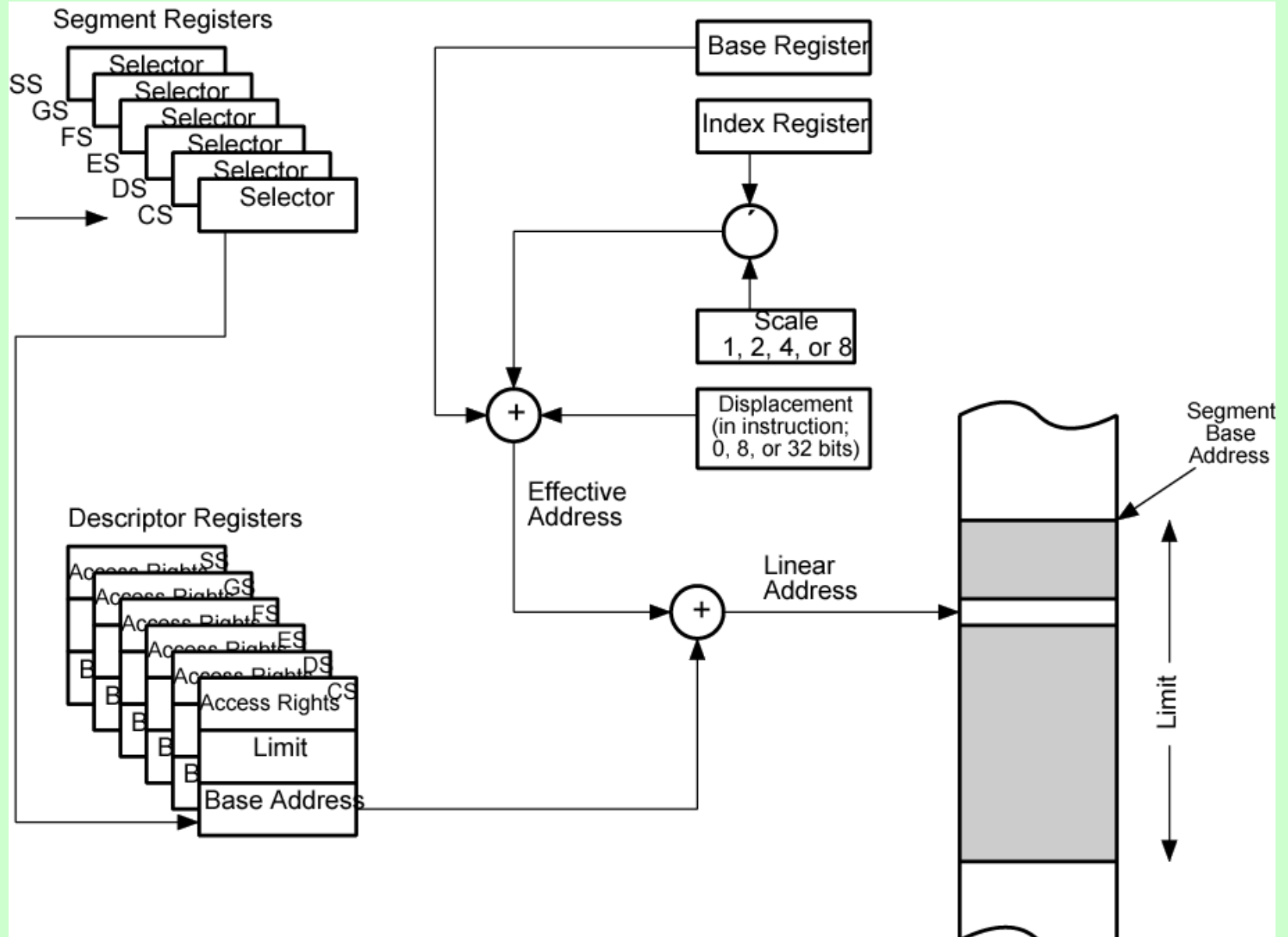
## 11.2 x86 Addressing Modes

---

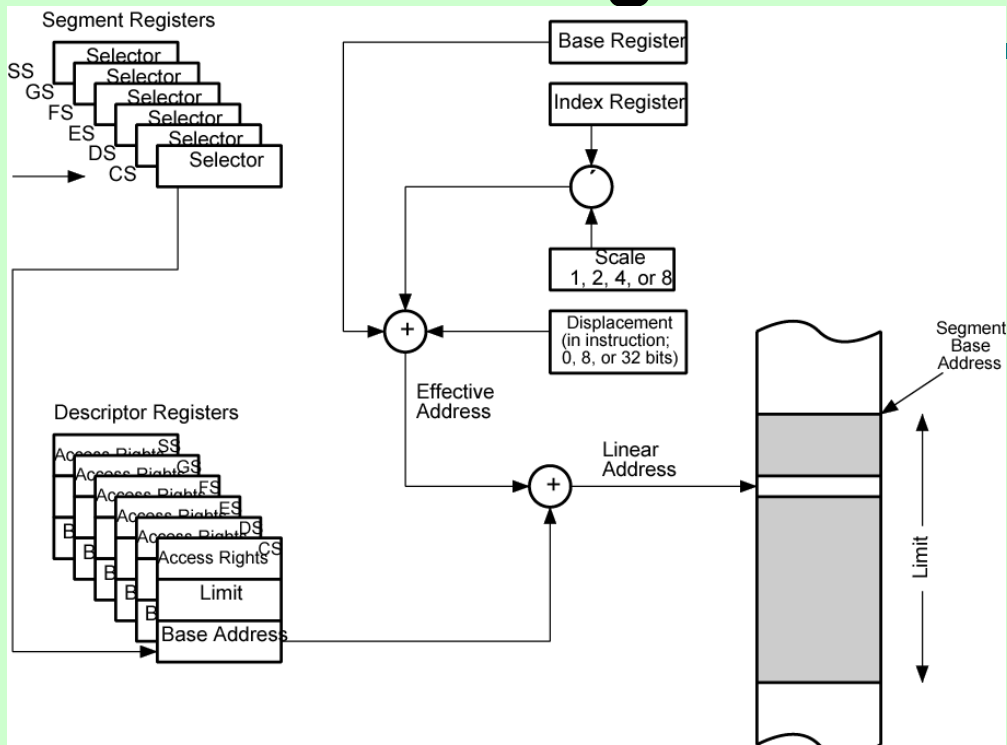
The effective address (EA in section 11.1) is the virtual address, and used as offset into a segment

- Starting address plus offset gives linear address
- This then goes through page translation if paging is enabled

# x86 Addressing Mode Calculation



# x86 Addressing Mode Calculation



Which segment register is used?

Determined by:

- instruction itself (e.g. stack-related instructions use SS)
- context of execution (e.g. in a multi-process environment, a certain process is assigned a certain Segment Register by the OS)

# x86 Addressing Modes

---

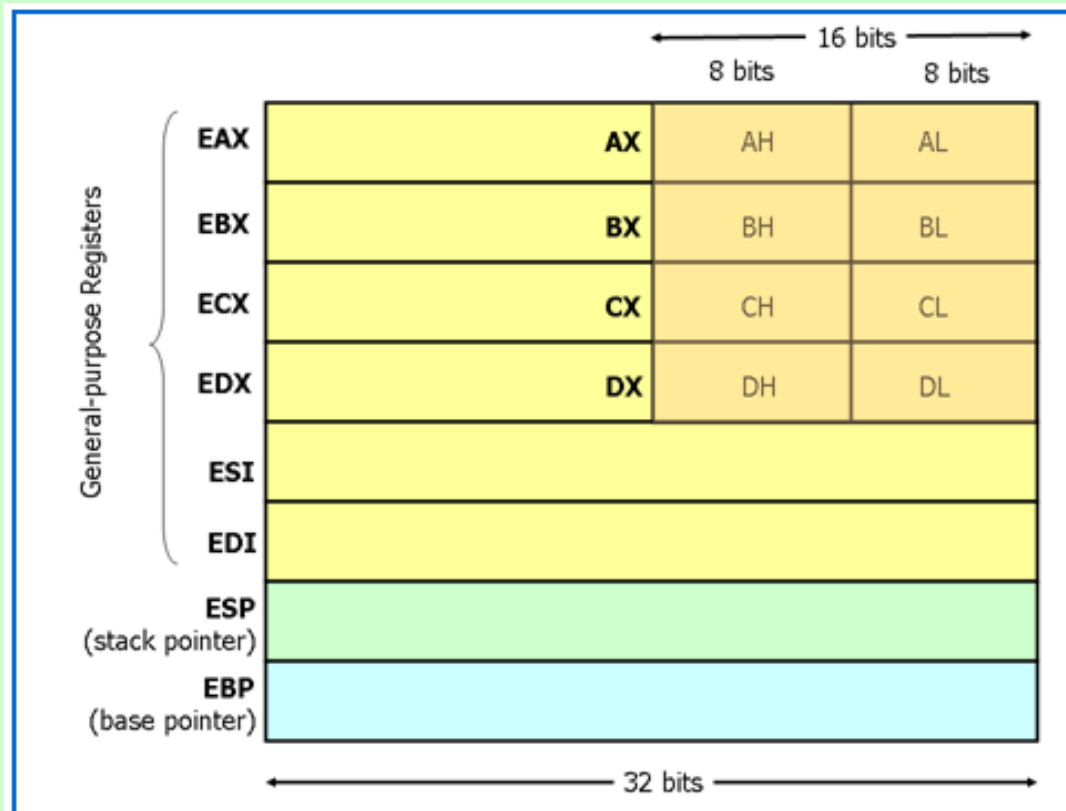
12 addressing modes:

- Immediate (Byte, word, doubleword)
- Register operand (8, 16, 32 and 64-bit registers)
- Displacement
- Base
- Base with displacement
- Scaled index with displacement
- Base with index and displacement
- Base scaled index with displacement
- Relative



Operands  
in memory

# x86 Registers





# x86 Addressing Modes – operand in memory

---

A segment register is used for all except relative (PC)

- Displacement: EA contained in instruction
  - 8, 16 or 32 bit
  - Can lead to long instructions, esp. for 32 bit!
  - Can be used to reference global variables
- Base
- Base with displacement
- Scaled index with displacement
- Base with index and displacement
- Base scaled index with displacement
- Relative



indirect  
addressing

# x86 Addressing Modes

Immediate	Operand = A	
Register Operand	LA = R	Register indirect
Displacement	LA = (SR) + A	
Base	LA = (SR) + (B)	Local variables, ASCII strings
Base with Displacement	LA = (SR) + (B) + A	Arrays
Scaled Index with Displacement	LA = (SR) + (I) × S + A	
Base with Index and Displacement	LA = (SR) + (B) + (I) + A	2D Arrays of characters
Base with Scaled Index and Displacement	LA = (SR) + (I) × S + (B) + A	2D Arrays
Relative	LA = (PC) + A	Branch

LA = linear address  
(X) = contents of X  
SR = segment register  
PC = program counter  
A = contents of an address field in the instruction  
R = register  
B = base register  
I = index register  
S = scaling factor

---

# **SKIP ARM Addressing Modes**

---

We covered sections 8.4 (Only segmentation, not paging) and 11.2 of the text. Please read carefully, it's very important!

Solve in notebook end-of-chapter 11 problems:

- 2, 3, 4, 8

# Quiz: Problem 11.5 / 429

---

## 11.3 Instruction Formats

---

- Format = Layout of bits in an instruction
- Includes opcode
- Includes (implicit or explicit) operand(s)
  - Zero or more
- Usually more than one instruction format in an instruction set

# Instruction Length

---

- Affected by and affects:
  - Memory size
  - Memory organization
  - Bus structure
  - CPU complexity
  - CPU speed
- Trade-off between:
  - Power of instructions
    - Many opcodes, operands, addressing modes
    - Greater address range
  - Saving space
    - Amount of memory used to store a program
    - # of fetch and get cycles

# Instruction Length

---

- Granularity:
  - Instruction length should be an integer multiple of the word length
    - Data types
    - Bus width
  - **“No more 20-bit instructions, Dr. von Neumann!”** 😊
  - IBM System/360 started using 8-bit characters (EBCDIC!) and simultaneously the Byte-addressable memory
    - As opposed to the 7000 series, which had been accessing memory chunks of variable size at arbitrary bit addresses



# Allocation of Bits

---

Determines:

- Number of addressing modes
- Number of operands
- Register versus memory
- Number of register sets, e.g. for x86:
  - General-purpose
  - Data (floating-point, MMX)
  - Displacement (segment reg.)
- Address range
- Address granularity: bytes or words?

# Allocation of Bits

---

Again, numerous trade-offs, e.g.

- # of opcodes vs. # of addresses (for a fixed-length instruction)
- Variable-length opcodes, but:
  - Need more hardware
  - Need “prefix code” – see next slide

# PEP Instructions have variable opcode length

(not in our text)

Opcode	Meaning of Instruction
0000	Stop execution
1100	Load the operand into the A register
1110	Store the contents of the A register into the operand
0111	Add the operand to the A register
1000	Subtract the operand to the A register
01001	Character input to the operand
01010	Character output from the operand

# Allocation of Bits

---

Another trade-off:

- # of register sets
- E.g. 64 “flat” registers vs. four sets of 16  
→ 6 bits vs. 4
- Can you think of a disadvantage?

Read the entire p.415 carefully!

# Orthogonality

---

A computer's instruction set is said to be **orthogonal** if any instruction can use data of any type via any addressing mode.

Idea: Specify the addressing mode in the operand, rather than the opcode

Advantage ... Disadvantage ...

The DEC PDP-11 and Motorola 68000 computer architectures are examples of nearly orthogonal instruction sets, while the ARM11 and VAX are examples of CPUs with fully orthogonal instruction sets. [Source: [Orthogonal instruction set - Wikipedia](#)]

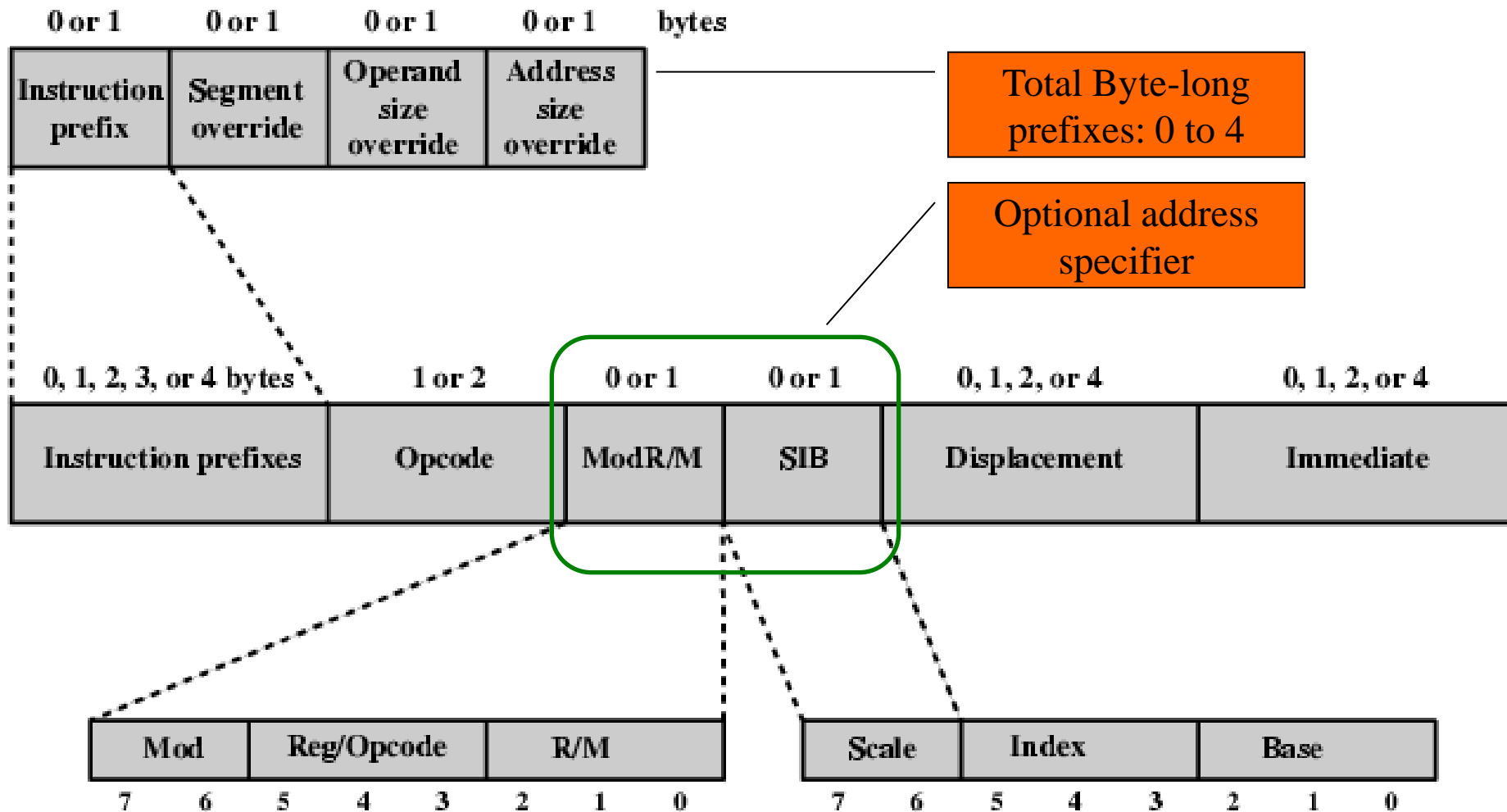
## **Text reading assignment:**

---

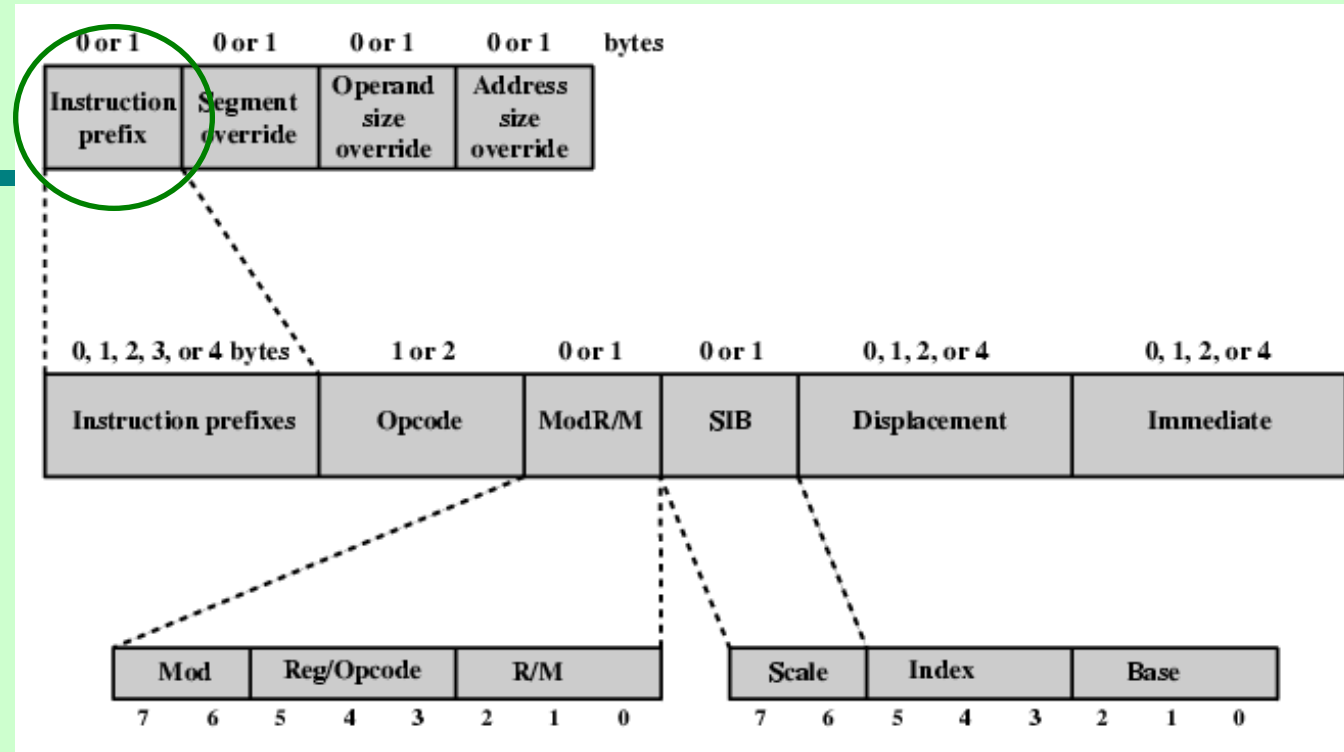
The remainder of section 11.3 (pp.416-421)

Take notes only about orthogonality!

# 11.4 x86 Instruction Format



# X86 Instruction Prefix



Two functions:

- LOCK → restricts other instructions' use of shared memory
- REPEAT → repeated operation on a string, e.g.
  - REP means that the operation is performed a number of times specified by register CX (C on 16 bit)



# LOCK prefix

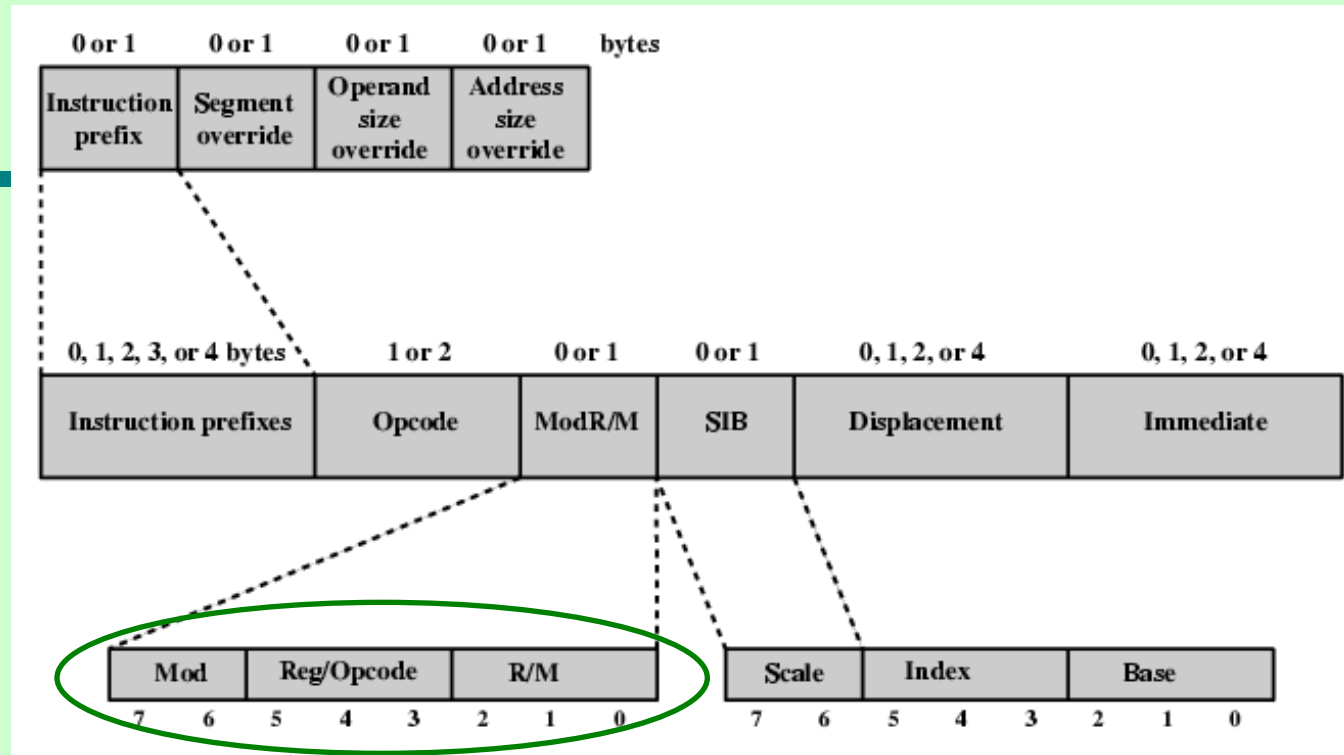
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- Only meaningful in multi-threading and multi-processor applications
- Can be used only with the following instructions (and to those forms of the instructions that use a memory operand: ADD, ADC, AND, BTC, BTR, BTS, CMPXCHG, DEC, INC, NEG, NOT, OR, SBB, SUB, XOR, XADD, and XCHG).
- An undefined opcode exception will be generated if the LOCK prefix is used with any other instruction.
- The XCHG instruction always asserts the LOCK# signal regardless of the presence or absence of the LOCK prefix.



Hardware signal  
in the Intel CPU

# X86 Instruction Format



Operand is in register  
or memory?  
Which register?

---

**Read and take notes: pp.422-423**

**SKIP ARM Instruction Formats**

# Quiz on instruction formats

---

Problem 11.16/431

- Hint: Assume that there are no 3- or more-operand instructions

# 11.5 What does the assembler do?

---

Computers store and “understand” binary instructions, a.k.a. machine code

Example: Calculate  **$N = I + J + K$**

- Program starts in memory location 101 (hex)
- Data starts at 201 (hex)
- Code:
  - Load contents of 201 into AC
  - Add contents of 202 to AC
  - Add contents of 203 to AC
  - Store contents of AC to 204
- See machine code on next slide

# Program in binary

(Fig. 11.3(a) is messed up in text!)

Address	Contents			
101	0010	0010	0000	0001
102	0001	0010	0000	0010
103	0001	0010	0000	0011
104	0011	0010	0000	0100
	.....			
201	0000	0000	0000	0010
202	0000	0000	0000	0011
203	0000	0000	0000	0100
204	0000	0000	0000	0000

Do you see the opcodes?

How many bits/Bytes are there at any memory address?

# Improvement: convert binary to hex

Address	Contents			
101	0010	0010	0000	0001
102	0001	0010	0000	0010
103	0001	0010	0000	0011
104	0011	0010	0000	0100
	.....			
201	0000	0000	0000	0010
202	0000	0000	0000	0011
203	0000	0000	0000	0100
204	0000	0000	0000	0000

Address	Contents
101	2201
102	1202
103	1203
104	3204
201	0002
202	0003
203	0004
204	0000

# Use symbolic names (a.k.a. mnemonics) for instructions

Address	Instruction
101	LDA 201
102	ADD 202
103	ADD 203
104	STA 204
201	DAT 2
202	DAT 3
203	DAT 4
204	DAT 0

Problem!

## Rules:

- Three fields per line
- Location address
- Three letter opcode
- If memory reference: use address in hex



# Symbolic Addresses!

---

Label	Operation	Operand
FORMUL	LDA	I
	ADD	J
	ADD	K
	STA	N
I	DATA	2
J	DATA	3
K	DATA	4
N	DATA	0

- 
- Need an assembler to translate from assembly to machine code
  - Assembler are still used for some systems programming:
    - Compilers
    - I/O routines

# Homework for Ch.11

---

Due Thu, Nov 25

End-of-chapter 11 problems:

- 6
- 7
- 11
- 13
- 20